UCSB GRADUATE STUDENT CONFLICT OF INTEREST FORM

The Conflict of Interest (COI) form must be completed, signed and submitted with the Masters Form I, Doctoral Degree Form I, or at any time the agreements or arrangements set forth below arise. The Policy on Conflict of Interest and Graduate Education, at http://www.graddiv.ucsb.edu/academic/conflict-of-interest, should be reviewed for guidance before filling out this form. If a conflict of interest related to this policy is identified, the procedures outlined in the Policy on Conflict of Interest and Graduate Education must be followed.

If you have any questions, please call the Graduate Division Academic Services unit: 805-893-2277

The UCSB Policy on Conflict of Interest and Graduate Education deals with any conflict of interest as defined in Section 028 of the Academic Personnel Manual (APM), adopted April 26, 1984. The policy is mandated by Section 025 of the Academic Personnel Manual (http://www.ucop.edu/academic-personnel/index.html). This policy affirms joint student and faculty responsibilities as members of the University of California in relationship to potential conflicts of interest and provides mechanisms to ensure that outside activities are consistent with University policy.

Disclosures

The following agreements or arrangements should be disclosed to the Dean of the Graduate Division as soon as the student becomes aware of the facts giving rise to the disclosure obligation:

- i) Agreements or arrangements between a student and a private entity involving research **or other professional activities as required by the graduate degree program** by the student, where the University or a mentor/research/thesis/dissertation advisor is a party to the agreement or arrangement, <u>and</u> the student's mentor/research/thesis/dissertation advisor has a financial interest in the private entity: *And one or more of the following is true:*
- a) The research **or other professional activities as required by the graduate degree program** are related to the student's project/thesis/dissertation, or
- b) There are restrictions on the student's ability to publish, present, or otherwise disclose the findings from their research activities.

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Name:		Perm: UMail:			
Degree: M	ajor:	Committee Ty	pe: Master's Thesis	Doctoral Both	
	nber have a financial inter which the graduate stude			rangement) in a Yes No	
findings from their res	eademic interests may be at ris search or make progress with a eject or other requirements imp	requirements of their prog			
The UCSB Graduate Sagreed upon.	Student Conflict of Interest Po	licy requires that this conf	flict be disclosed and that a	management plan be	
If the above answer	r is "Yes" please respond	to the following:			
	the faculty member with a find ption on a separate page)	ancial interest and describ	be the nature of the potentic	el conflict.	
Recommendation	partment propose to manage to on that the Graduate Dean work ee for the faculty member with	rk with the Department to	find a substitute on the stu	dent's dissertation or	
Limitation of th	ne length or scope of student's	work with the private enti	ity;		
Require that all	student work to be conducted	on-campus;			
member is chose consultation wi	f an additional member to serve sen by the Department Chair (of the the graduate student and the alty (specify name	or the Graduate Advisor if eir dissertation advisor. The	f the Chair is the conflicted	faculty member) in	
Any other cond	dition that the Subcommittee f pecify).		onable to manage the conflic	et may also be	
	that we have read the policy a academic interests of the above		closure of any financial con	flict of interest that	
Student - type or print na	me	Signature		Date	
Thesis/Dissertation C	committee Chair-type/print name	Signature		Date	
Major Department Cl	hair - type or print name	Signature		Date	
GRADUATE DIVISION					
Conflict Disclosed, Re	eviewed and Approved:	uate Division Dean/Assoc	ciate Dean Date		
No Conflict:	Date				